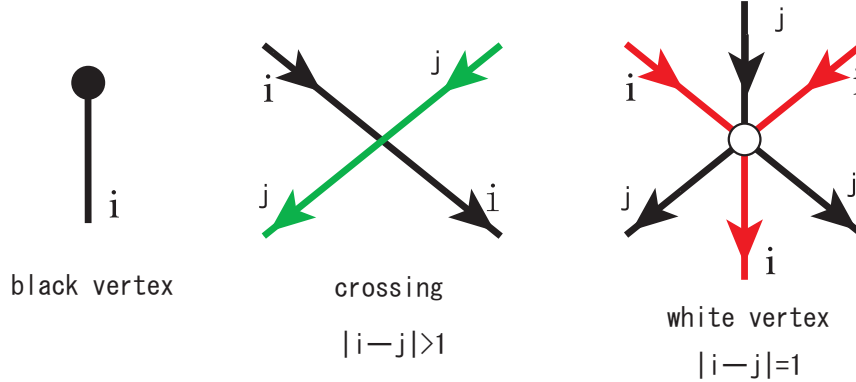


# On Charts with Two Crossings

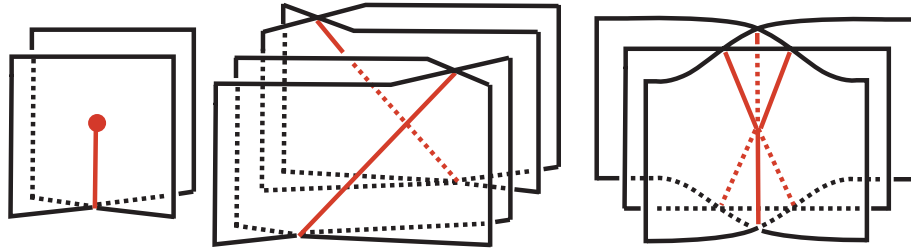
Teruo Nagase (Tokai Univ.)

Akiko Shima (Tokai Univ.)

An  $n$ -chart  $\Gamma$  is an oriented labeled graph on the disk s.t. each label of edges is  $1, 2, \dots, n-1$ , and each vertex is one of the followings:

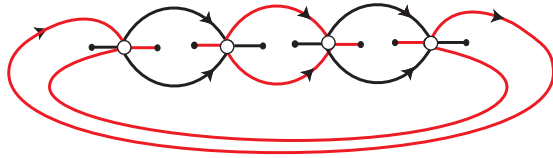


An  $n$ -chart  $\Gamma$  is an oriented labeled graph on the disk s.t. each label of edges is  $1, 2, \dots$ , or  $n-1$ , and each vertex is one of the followings:

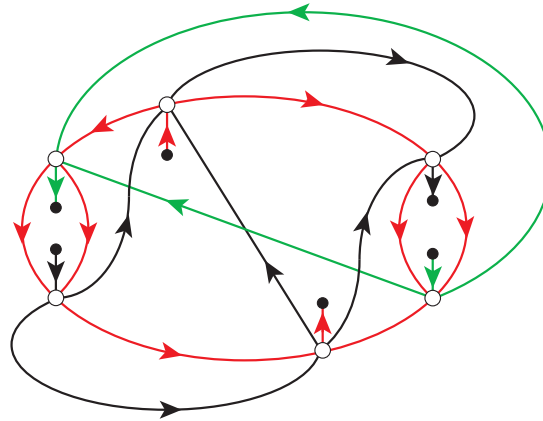


Examples:

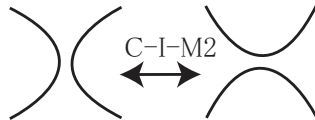
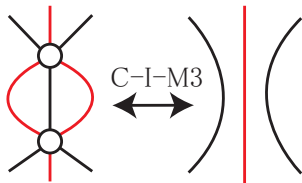
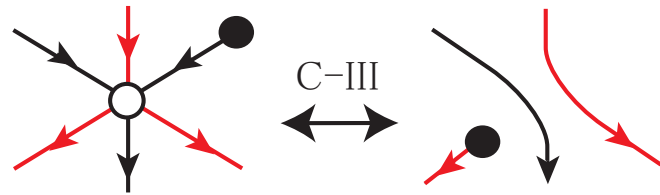
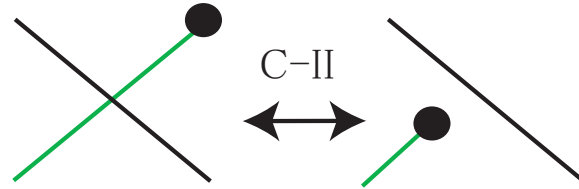
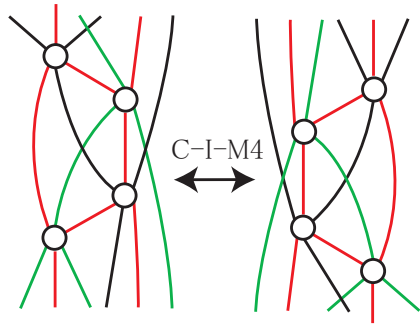
3-chart



4-chart

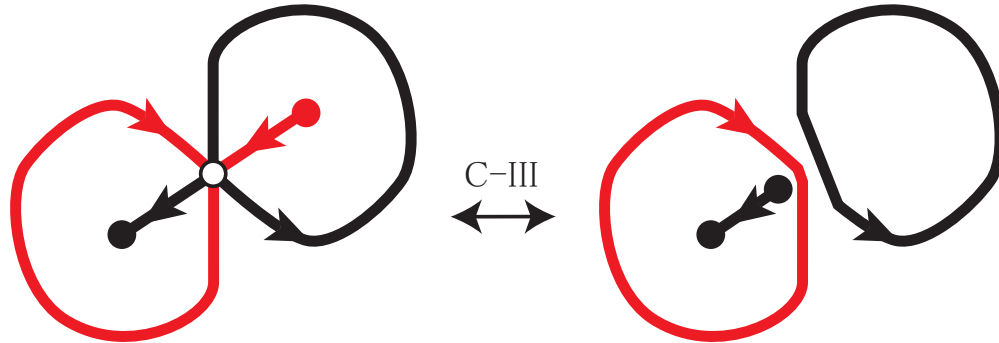


# C-moves:



A chart  $\Gamma'$  is said to be **C-move equivalent** to a chart  $\Gamma$  if  $\Gamma'$  is obtained from  $\Gamma$  by a finite sequence of C-moves.

A **ribbon chart** is C-move equivalent to a chart without white vertices.



[**Kamada**] Any 3-chart is a ribbon chart.

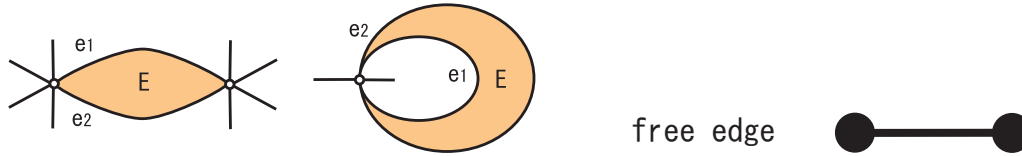
[**Nagase and Hirota**] Any 4-chart with at most one crossing is a ribbon chart.

[**Nagase and Shima**]

(1) Any 2-minimal 4-chart with exactly two crossings contains at least **8 black vertices**.

(2) If a 4-chart contains at most two crossing, and if it represents one sphere, then it is a ribbon chart.

(3) Any chart with at most one crossing is a ribbon chart.



Let  $\Gamma$  be a chart.

$w(\Gamma)$  = the number of white vertices,

$f(\Gamma)$  = the number of free edges,

$b(\Gamma)$  = the number of bigons.

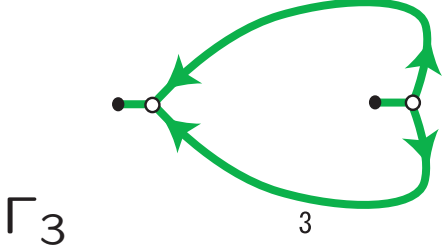
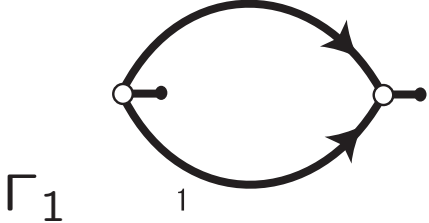
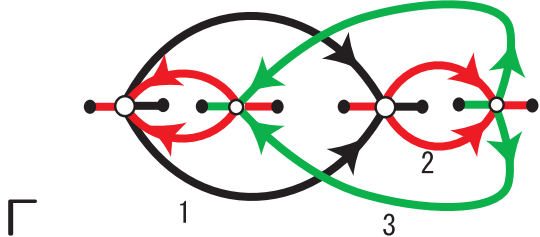
The **extended complexity of  $\Gamma$**  is  $(w(\Gamma), -f(\Gamma), -b(\Gamma))$ .

Let  $\Gamma$  be a chart with at most  $k$  crossings.

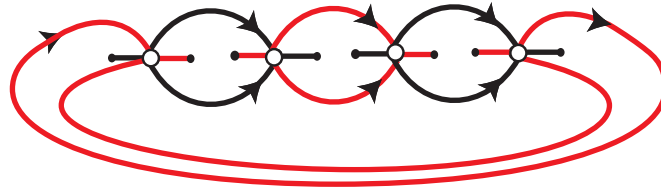
A  **$k$ -minimal chart**  $\Gamma$  has the minimal complexity among the charts with at most  $k$  crossings C-move equivalent to  $\Gamma$ .



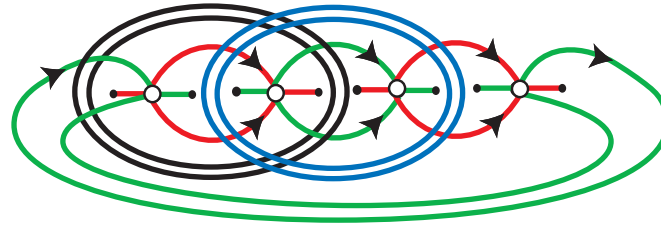
For each label  $m$ , we denote by  $\Gamma_m$  the subgraph of a chart  $\Gamma$  consisting of edges of label  $m$  and their vertices.



A chart  $\Gamma$  is called a **generalized  $n$ -chart** if  $\exists p$  and  $q$  s.t.  $n = q - p$ ,  $w(\Gamma_{p+1}) \neq 0$ ,  $w(\Gamma_{q-1}) \neq 0$ , and  $w(\Gamma_i) = 0$  except for  $p < i < q$  where  $w(X) =$  the number of white vertices in  $X$ .



3-chart



generalized 3-chart (5-chart)

**Main Theorem.** Let  $n > 4$ .

(1) If a 2-minimal generalized  $n$ -chart with exactly two crossings contains at least  $4n - 10$  black vertices.

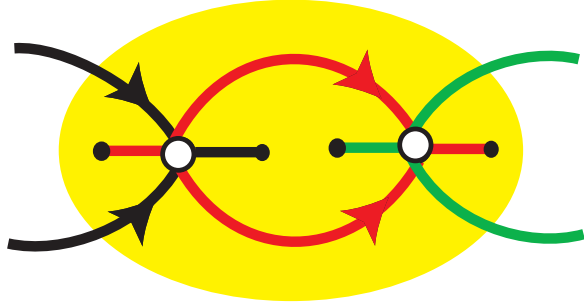
(2) If an  $n$ -chart contains at most two crossings, and if it represents a disjoint union of spheres, then it is a ribbon chart.

**Remark.** If an  $n$ -chart represents one sphere, then it contains exactly  $2n - 2$  black vertices.

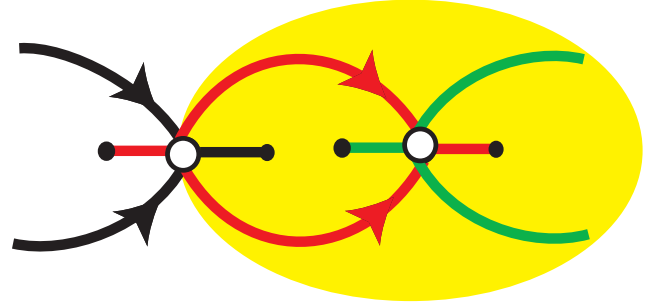
Let  $\Gamma$  be a chart and  $D$  a disk.

The pair  $(D \cap \Gamma, D)$  is called a **tangle** if

- (1)  $\partial D$  does not contain any vertices of  $\Gamma$ ,
- (2)  $\partial D$  transversely intersects edges of  $\Gamma$ .

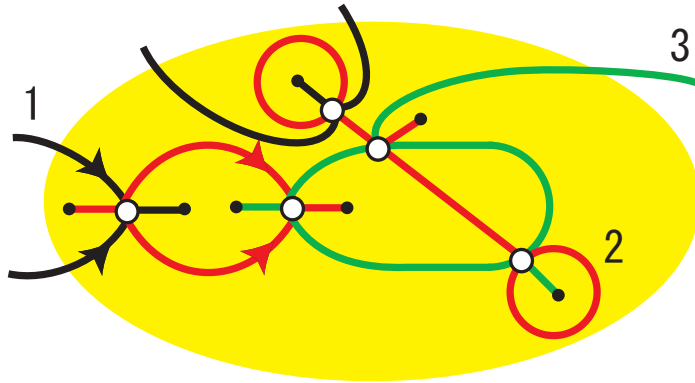


a tangle



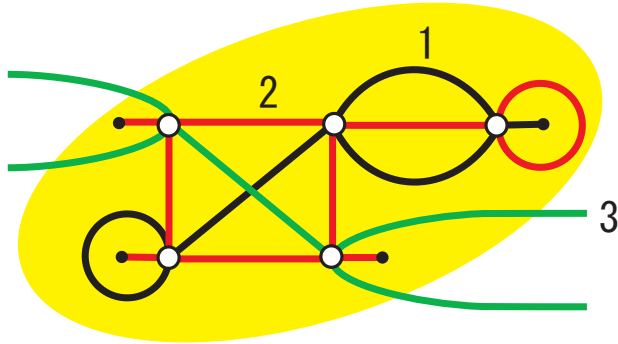
not a tangle

A tangle  $(D \cap \Gamma, D)$  is called an **NR-tangle (new reducible tangle)** of label  $m$  if (1)  $\partial D \cap \Gamma$  is contained in  $\Gamma_m$  except at most one point, (2)  $D$  contains at least one white vertex, but  $D$  does not contain any crossings.



NR-tangle of label 1

A tangle  $(D \cap \Gamma, D)$  is called an **NS-tangle** of label  $m$  if (1)  $\partial D \cap \Gamma \subset \Gamma_m$ ,  
 (2)  $D$  contains at least one white vertex, and  
 $D$  contains at most one crossing.

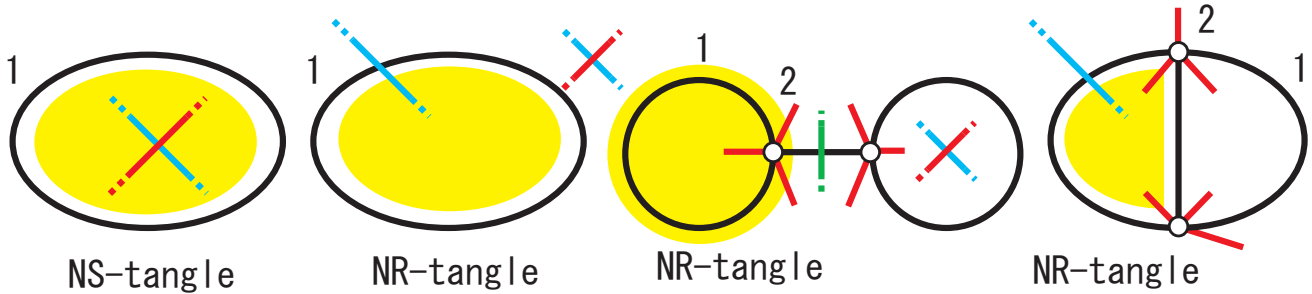


an NS-tangle of label 3

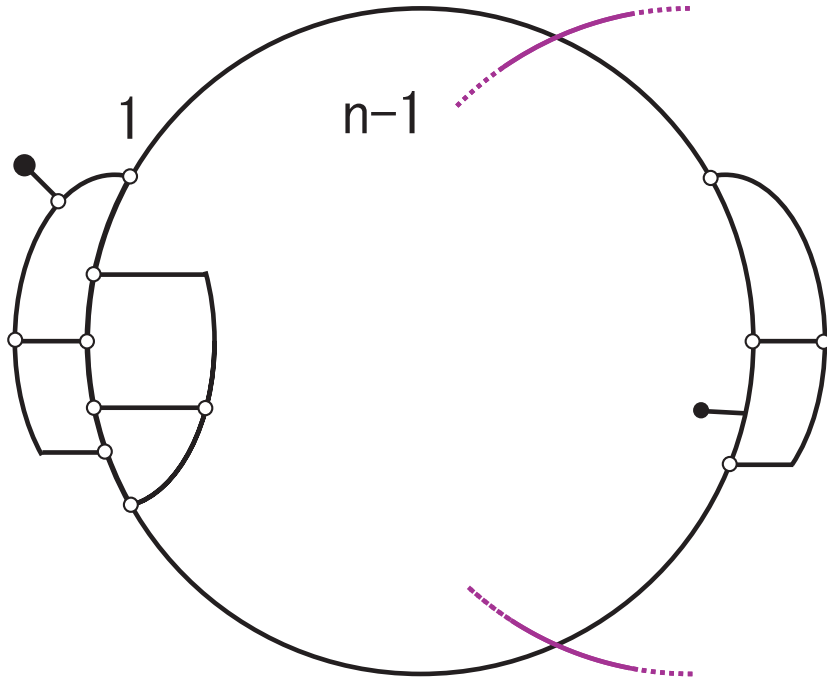
**Lemma.** There exists neither an NR-tangle nor an NS-tangle in any  $k$ -minimal chart.

## Outline of Proof of Main Theorem.

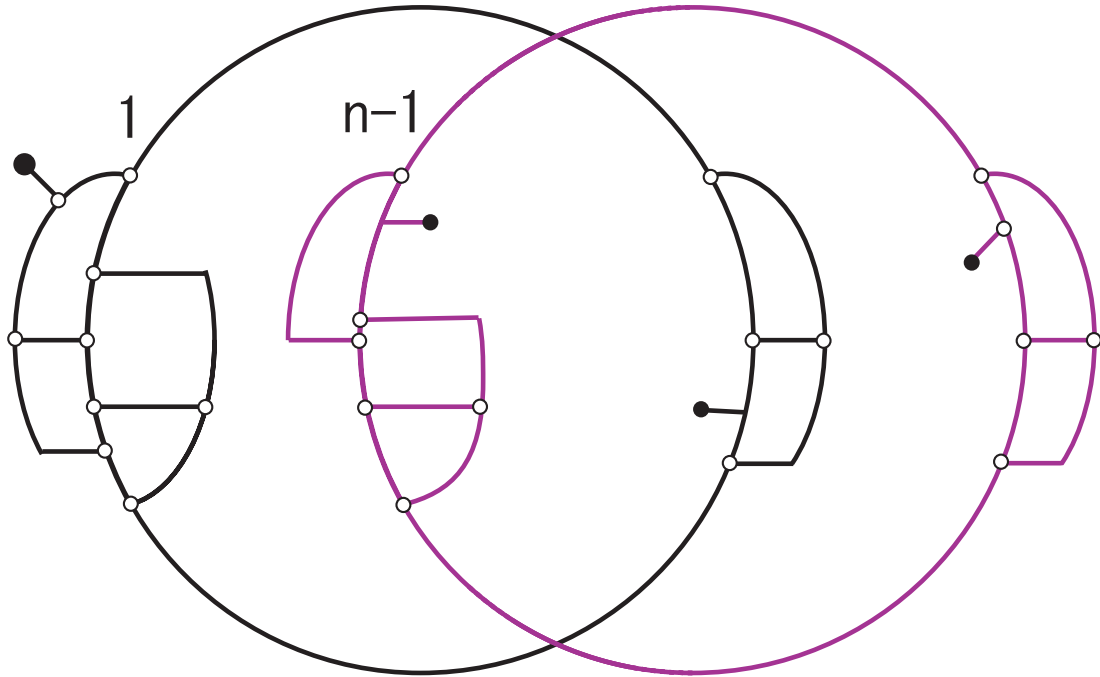
$\Gamma$  : a 2-minimal  $n$ -chart with two crossings with  $w(\Gamma_1) \neq 0$  and  $w(\Gamma_{n-1}) \neq 0$ .

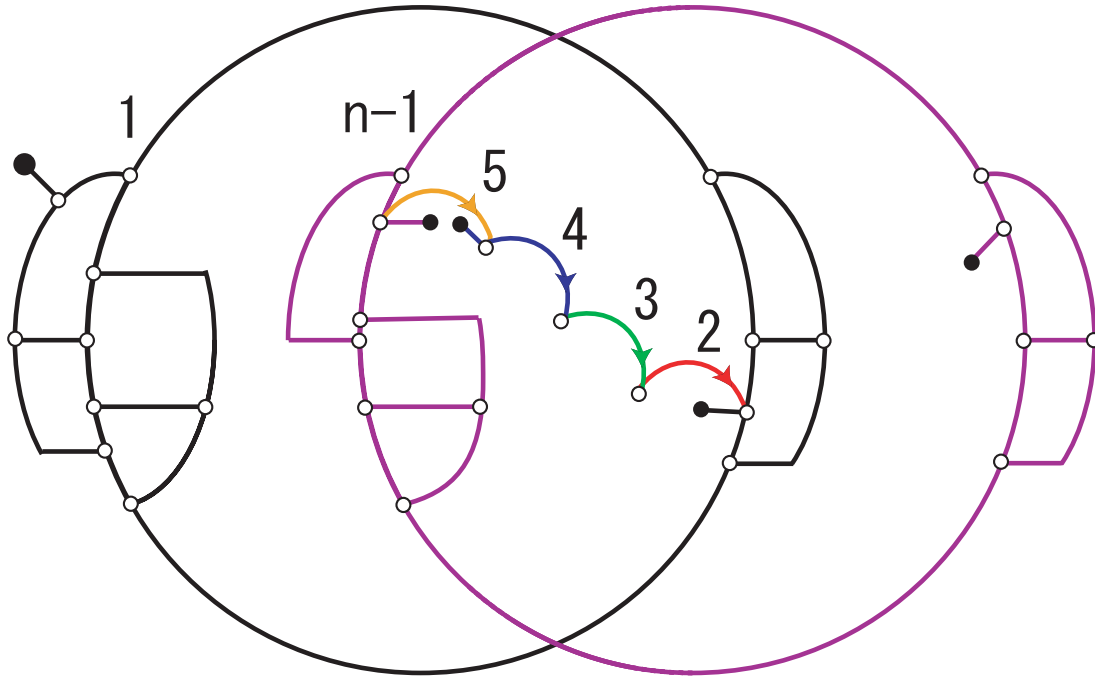


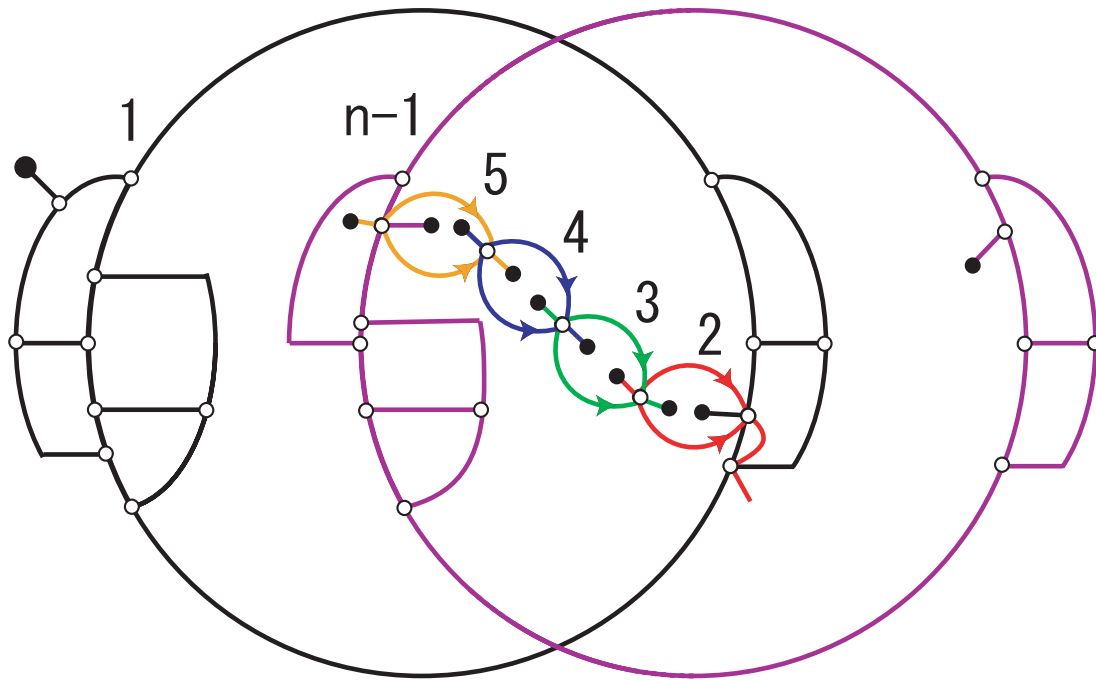
**Claim:** There exist simple closed curves  $C$  and  $C'$  in  $\Gamma_1$  and  $\Gamma_{n-1}$  respectively s.t.  $C \cap C'$  contains two crossings.

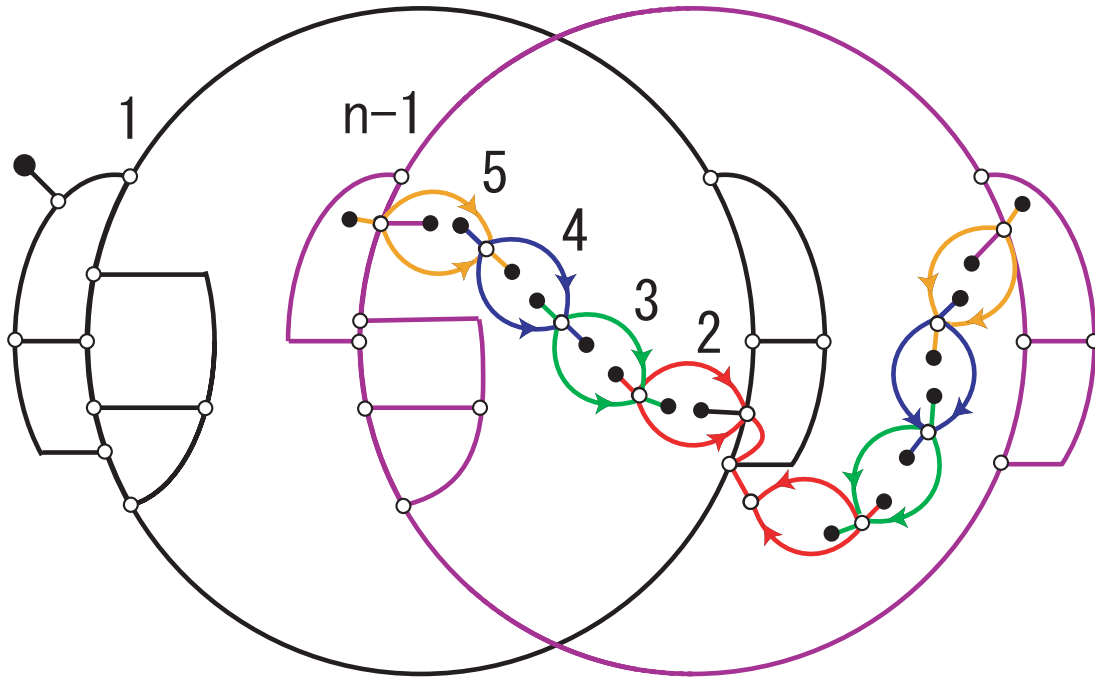












$4(n - 4) + 6 = 4n - 10$  black vertices.

**Lemma.** Let  $\Gamma$  be a  $k$ -minimal chart and  $G$  a 'small' component of  $\Gamma_m$ . If  $G$  is contained in a disk such that the disk does not contain any crossings, then  $G$  contains at least **two black vertices**.

Roughly speaking, a **small component** means an innermost component in  $\Gamma_m$ .